

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231 JC698 U.S. PTO

Atty. Dkt.: 839-1146

Date: January 24, 2002

Sir:



01/24/02

Attached for filing is the patent application of:

Inventor: JORDAN et al.

Entitled: WINDING RESTRAINT ON WOUND ROTOR GENERATORS OR
MOTORS AND METHOD FOR FORMING THE SAME

and including attachments as noted below:

- ☒ Newly executed Declaration, ☐ Copy of Declaration from prior application, ☒ Abstract
16 pages of specification and claims (including 36 numbered claims), and
3 sheets of accompanying drawing/s.
☒ Record the attached assignment and return to the undersigned.
☒ Attached is a Power of Attorney.
☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
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, respectively, the entire content of which is hereby incorporated by reference in this application..

Certified copy(ies) of foreign application(s) is/are attached.

Certified copy(ies) filed on _____ in prior appln. no. _____ filed _____

Please amend the specification by inserting the following paragraph before the first line: --This application
claims the benefit of Provisional Application No. _____, filed _____, the entire content of which is hereby
incorporated by reference in this application.--

☒ This application is a ☐ continuation/☐ division/☒ continuation-in-part of Application No. 09/766,670, filed
January 23, 2001, the entire content of which is hereby incorporated by reference in this application.--
Petition filed in prior application to extend its life to insure co-pendency.

The prior application is assigned to General Electric Company.

It is hereby requested that the Examiner consider the art cited in the parent application by applicant and/or the
Examiner for the reasons stated therein. A listing of that art is attached.

Applicant claims "small entity" status. ☐ "Small entity" statement attached.Please enter the attached and/or below preliminary amendment prior to calculation of filing fee:**Please cancel claims 1-20 without prejudice or disclaimer.**

Also attached: ☒ Form PTO-1449; ☐ Non-Publication Request; ☐ Nucleotide and/or Amino Acid
Sequence Submission; ☐ Statement deleting Inventor(s) named in prior application; ☐ Other:

FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HEREWITH CANCELED

Basic Filing Fee		\$	740.00
Total effective claims	16 - 20 (at least 20) =	0 x \$ 18.00	\$ 0.00
Independent claims	2 - 3 (at least 3) =	0 x \$ 84.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)		\$	0.00
		SUBTOTAL	\$ 740.00
If "small entity," then enter half (1/2) of subtotal and subtract		-\$	(0.00)
		SECOND SUBTOTAL	\$ 740.00
Assignment Recording Fee (\$40.00)		\$	40.00
		TOTAL FEE ENCLOSED	\$ 780.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be
filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No.**

14-1140. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.

By Atty: Raymond J. Mah, Reg. No. 41,426

Signature:

JORDAN et al.
CIP of Serial No. 09/766,670

person, attorney, firm or other organization sending instructions to Nixon & Vanderhye
on behalf of the owner.

Certificate Under 37 C.F.R. §3.73(b)

I hereby certify that General Electric Company of 1 River Road, Schenectady, NY
12345 is the assignee of the entire right, title and interest in the patent application
identified above by virtue of an assignment from the inventors to the aforesaid assignee, a
copy of the assignment being attached.

I have reviewed the documents in the chain of title of the patent application
identified above, and to the best of my knowledge and belief, title is in the aforesaid
assignee for which I am empowered to act in this matter.

I declare further that all statements made herein of my own knowledge are true
and that all statements made on information and belief are believed to be true; and further
that these statements were made with the knowledge that willful false statements and the
like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title
18 of the United States Code and that such willful false statements may jeopardize the
validity of the application or any patent issuing thereon.

GENERAL ELECTRIC COMPANY

1/18/2002 By: Ernest G. Cusick
Date Name: Ernest G. Cusick
Title: Patent Counsel